

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **Raj Oil Mills Limited**
 2. Quarter ending - **31-Mar-2019**

i. Composition Of Board Of Director

Title (Mr./Ms)	Name of the Director	DIN	PAN	Category (Chairperson /Executive/Non-Executive/ Independent/ Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of cessation	Tenure	Date of Birth	No. of Directorship in listed entities including this listed entity	No of Independent Directorship in listed entities including this listed entity	No of memberships in Audit/ Stakeholder Committee (s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company	Remarks
Mr.	Parvez Shafiahd Shaikh	00254202	AUWPS7211F	C & ED			04-May-2018				0	1	1	0	SC	
Mr.	Sufyan Abdul Razak Maknojia	08003749	ABGPM6984Q	ED	MD		04-May-2018				0	1	1	0	AC,NRC	
Mr.	Bohman Jamshed Irani	00056882	AAAP18047D	ID			04-May-2018		11		0	1	2	1	AC,SC,NRC	
Mr.	Abdul Qadir Chaudhary	00339696	AAAPC5288N	ID			04-May-2018		11		0	1	2	1	AC,SC,NRC	

Company Remarks	The Board of Directors of the Company not constituted as per the requirement of SEBI (LODR), 2015 due to following Reasons: As per the Order of Hon'ble NCLT Mumbai order Company has formed the Board of Directors on 04th May, 2018. Further in the Appeal filed by the Sales Tax Department the Hon'ble NCLAT, Delhi in its order dated 02.07.2018 has imposed stay on the Execution of Resolution Plan with out prior permission of Appellate Tribunal.
Whether Permanent chairperson	Yes

appointed	
Whether Chairperson is related to MD or CEO	

ii. Composition of Committees

a. Audit Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Sufyan Abdul Razak Maknojia	ED	Member	05-Nov-2018	
2	Bohman Jamshed Irani	ID	Chairperson	05-Nov-2018	
3	Abdul Qadir Chaudhary	ID	Member	05-Nov-2018	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Parvez Shafiahd Shaikh	C & ED	Member	05-Nov-2018	
2	Abdul Qadir Chaudhary	ID	Chairperson	05-Nov-2018	
3	Bohman Jamshed Irani	ID	Member	05-Nov-2018	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
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Company Remarks	
Whether Permanent chairperson appointed	

d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Abdul Qadir Chaudhary	ID	Member	05-Nov-2018	
2	Sufyan Abdul Razak Maknojia	ED	Chairperson	05-Nov-2018	
3	Bohman Jamshed Irani	ID	Member	05-Nov-2018	

Company Remarks	There is no composition of Nomination and Remuneration Committee formed as per the provision of Companies Act 2013 & SEBI (LODR) Reg. 2015. However the Company is in process to comply the same.
Whether Permanent chairperson appointed	No

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
05-Nov-2018	14-Feb-2019			
28-Nov-2018				

Company Remarks	
Maximum gap between any two consecutive (in number of days)	77

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee		14-Feb-2019	Yes		
Stakeholders Relationship Committee		14-Feb-2019	Yes		

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	0

v. **Related Party Transactions**

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Not Applicable	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Not Applicable	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **No**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **No**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
b. Any comments/observations/advice of Board of Directors may be mentioned here:

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Name : **Ankit Kumar Jain**
Designation : **Company Secretary & Compliance Officer**

ANNEXURE II

Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations			
Item	Compliance status (Yes/No/NA)	Company Remark	Website
As per regulation 46(2) of the LODR:			
Details of business	No	The Company is in process to update its website	
Terms and conditions of appointment of independent directors	No	The Company is in process to update its website	
Composition of various committees of board of directors	No	The Company is in process to update its website	
Code of conduct of board of directors and senior management personnel	No	The Company is in process to update its website	
Details of establishment of vigil mechanism/ Whistle Blower policy	No	The Company is in process to update its website	
Criteria of making payments to non-executive directors	No	The Company is in process to update its website	
Policy on dealing with related party transactions	No	The Company is in process to update its website	
Policy for determining 'material' subsidiaries	No	The Company is in process to update its website	
Details of familiarization programs imparted to independent directors	No	The Company is in process to update its website	
Email address for grievance redressal and other relevant details entity who are responsible for assisting and handling investor grievances	No	The Company is in process to update its website	
Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances other relevant details	No	The Company is in process to update its website	
Financial results	No	The Company is in process to update its website	
Shareholding pattern	Not Applicable		
Details of agreements entered into with the media companies and/or their associates	Not Applicable		
Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	%ann2c15%	%ann2rem15%	%ann2web15%

New name and the old name of the listed entity	%ann2c16%	%ann2rem16%	%ann2web16%
Advertisements as per regulation 47 (1)	%ann2c17%	%ann2rem17%	%ann2web17%
Credit rating or revision in credit rating obtained by the entity for all its outstanding instruments obtained	%ann2c18%	%ann2rem18%	%ann2web18%
Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	%ann2c19%	%ann2rem19%	%ann2web19%
As per other regulations of the LODR:			
Whether company has provided information under separate section on its website as per Regulation 46(2)	%ann2c21%	%ann2rem21%	%ann2web21%
Materiality Policy as per Regulation 30	%ann2c22%	%ann2rem22%	%ann2web22%
Dividend Distribution policy as per Regulation 43A (as applicable)	%ann2c23%	%ann2rem23%	%ann2web23%
It is certified that these contents on the website of the listed entity are correct.	%ann2c24%	%ann2rem24%	%ann2web24%
II Annual Affirmations			
Particulars	Regulation Number	Compliance status (Yes/No/NA)	Company Remark
<i>Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'</i>	16(1)(b) & 25(6)	Yes	
<i>Board composition</i>	17(1), 17(1A) & 17(1B)	No	Composition of Board is not as per SEBI (LODR) however the Company is in process to comply the same.Regulation, 2015 however the Company is in process to comply the same.
<i>Meeting of Board of directors</i>	17(2)	Yes	
<i>Quorum of Board meeting</i>	17(2A)	Yes	
<i>Review of Compliance Reports</i>	17(3)	Yes	
<i>Plans for orderly succession for appointments</i>	17(4)	Yes	
<i>Code of Conduct</i>	17(5)	Not Applicable	

<i>Fees/compensation</i>	17(6)	Yes	
<i>Minimum Information</i>	17(7)	Yes	
<i>Compliance Certificate</i>	17(8)	Yes	
<i>Risk Assessment & Management</i>	17(9)	Yes	
<i>Performance Evaluation of Independent Directors</i>	17(10)	Yes	
<i>Recommendation of Board</i>	17(11)	Yes	
<i>Maximum number of directorship</i>	17A	NA	
<i>Composition of Audit Committee</i>	18(1)	No	Audit Committee was Constituted on 05-11-2018, however there were only 1 meeting held on 14-02-2019
<i>Meeting of Audit Committee</i>	18(2)	Yes	There is no composition of Nomination and Remuneration Committee formed as per the provision of Companies Act 2013 & SEBI (LODR) Reg. 2015. However the Company is in process to comply the same.
<i>Composition of nomination & remuneration committee</i>	19(1) & (2)	Not Applicable	
<i>Quorum of Nomination and Remuneration Committee meeting</i>	19(2A)	Yes	
<i>Meeting of nomination & remuneration committee</i>	19(3A)	Yes	
<i>Composition of Stakeholder Relationship Committee</i>	20(1), 20(2) and 20(2A)	Not Applicable	
<i>Meeting of stakeholder relationship committee</i>	20(3A)	Not Applicable	
<i>Composition and role of risk management committee</i>	21(1),(2),(3),(4)	Not Applicable	
<i>Meeting of Risk Management Committee</i>	22	Not Applicable	

<i>Vigil Mechanism</i>	22	Yes	
<i>Policy for related party Transaction</i>	23(1),(1A),(5),(6),(7) & (8)	Yes	
<i>Prior or Omnibus approval of Audit Committee for all related party transactions</i>	23(2), (3)	Yes	
<i>Approval for material related party transactions</i>	23(4)	Yes	
<i>Disclosure of related party transactions on consolidated basis</i>	23(9)	Yes	
<i>Composition of Board of Directors of unlisted material Subsidiary</i>	24(1)	Yes	
<i>Other Corporate Governance requirements with respect to subsidiary of listed entity</i>	24(2),(3),(4),(5) & (6)	%ann2c54%	%ann2rem54%
<i>Annual Secretarial Compliance Report</i>	24(A)	%ann2c55%	%ann2rem55%
<i>Alternate Director to Independent Director</i>	25(1)	%ann2c56%	%ann2rem56%
<i>Maximum Tenure</i>	25(2)	%ann2c57%	%ann2rem57%
<i>Meeting of independent directors</i>	25(3) & (4)	%ann2c58%	%ann2rem58%
<i>Familiarization of independent directors</i>	25(7)	%ann2c59%	%ann2rem59%
<i>Declaration from Independent Director</i>	25(8) & (9)	%ann2c60%	%ann2rem60%
<i>D & O Insurance for Independent Directors</i>	25(10)	%ann2c61%	%ann2rem61%
<i>Memberships in Committees</i>	26(1)	%ann2c62%	%ann2rem62%
<i>Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel</i>	26(3)	%ann2c63%	%ann2rem63%
<i>Disclosure of Shareholding by Non- Executive Directors</i>	26(4)	%ann2c64%	%ann2rem64%
<i>Policy with respect to Obligations of directors and senior management</i>	26(2) & 26(5)	%ann2c65%	%ann2rem65%

Other Information	
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III Affirmations:

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied. - **Not Applicable**

Other Information	
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Name : **Ankit Kumar Jain**
Designation : **Company Secretary & Compliance Officer**